

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

09/846,134

Filing Date

April 30, 2001

First Named Inventor

Franklin Grosvenor

Art Unit

3623

Examiner Name

Romain Jeanty

Attorney Docket Number

50325-0519

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts under 37
CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition (2)

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CDAfter Allowance Communication
to TCAppeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please
Identify below):
Check for \$260.00
Return receipt postcard**Remarks**The Director is hereby authorized to charge any additional fee(s) or underpayments of
fee(s) under 37 CFR 1.16 and 1.17 to Deposit Account Number **50-1302**
Deposit Account Name: Hickman Palermo Truong & Becker LLP**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Hickman Palermo Truong & Becker LLP

Signature

Printed name

Zhichong Gu

Date

March 19, 2007

Reg. No.

56,543

CERTIFICATE OF TRANSMISSION/MAILINGI hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with
sufficient postage as first class mail in an envelope addressed to: MS Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450 on the date shown below:

Signature

Typed or printed name

Martina Placid

Date

March 19, 2007

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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PTO/SB/17p (11-05)
Approved for use through 07/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PETITION FEE
Under 37 CFR 1.17(f), (g) & (h)
TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	09/846,134
Filing Date	April 30, 2001
First Named Inventor	Franklin Grosvenor
Art Unit	3623
Examiner Name	Romain Jeanty
Attorney Docket Number	50325-0519

Enclosed is a petition filed under 37 CFR 1.314 & 1.144 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$260.00 is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

Payment of Fees (small entity amounts are NOT available for the petition fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 50-1302 :
☐ petition fee under 37 CFR 1.17(f), (g) or (h) ☒ any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$260.00 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462

For petitions filed under:

- § 1.53(e) - to accord a filing date.
- § 1.57(a) - to accord a filing date.
- § 1.182 - for decision on a question not specifically provided for.
- § 1.183 - to suspend the rules.
- § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463

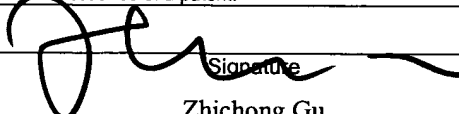
For petitions filed under:

- § 1.12 - for access to an assignment record.
- § 1.14 - for access to an application.
- § 1.47 - for filing by other than all the inventors or a person not the inventor.
- § 1.59 - for expungement of information.
- § 1.103(a) - to suspend action in an application.
- § 1.136(b) - for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 - for review of refusal to publish a statutory invention registration.
- § 1.296 - to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) - for patent owner requests for extension of time in ex parte reexamination proceedings.
- § 1.956 - for patent owner requests for extension of time in inter partes reexamination proceedings.
- § 5.12 - for expedited handling of a foreign filing license.
- § 5.15 - for changing the scope of a license.
- § 5.25 - for retroactive license.

Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464

For petitions filed under:

- § 1.19(g) - to request documents in a form other than that provided in this part.
- § 1.84 - for accepting color drawings or photographs.
- § 1.91 - for entry of a model or exhibit.
- § 1.102(d) - to make an application special.
- § 1.138(c) - to expressly abandon an application to avoid publication.
- § 1.313 - to withdraw an application from issue.
- § 1.314 - to defer issuance of a patent.



Zhichong Gu
Typed or printed name

March 19, 2007

Date
56,543

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

50325-0519



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: 3623

Franklin Grosvenor, et al.

Examiner: JEANTY, ROMAIN

Serial No.: 09/846,134

Filed on: April 30, 2001

For: METHOD AND APPARATUS PROVIDING A
SUPPLY CHAIN MANAGEMENT SYSTEM USEFUL IN
OUTSOURCED MANUFACTURING

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO DEFER ISSUANCE OF PATENT APPLICATION
UNDER C.F.R § 1.314

Sir:

Applicant hereby respectfully petitions for deferral of the issuance of the application referenced above as a patent to allow sufficient time for the Director to decide a petition to review a final restriction requirement in this application. A petition to the Director pursuant to 37 CFR 1.144 and 37 CFR 1.181(a) is concurrently submitted herewith to request that the Director review and rescind the final restriction requirement.

Based on the foregoing, it respectfully submitted that applicant has shown good and sufficient reasons why it is necessary to defer issuance of the patent as requested.

03/21/2007 EAYALEW2 00000063 09846134

01 FC:1464

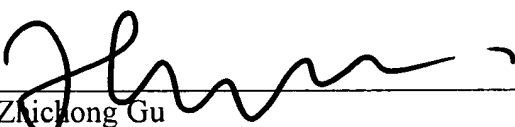
130.00 OF

A law firm check for all applicable fees is submitted herewith. However, if the check is missing or insufficient, the Commissioner is hereby authorized to charge payment of any fees associated with this petition or credit any overpayment, to Deposit Account No. 50-1302, including any fees under 37 CFR 1.17.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: March 19, 2007



Zhichong Gu
Registration No. 56,543

2055 Gateway Place
San Jose, California 95110-1083
Telephone: (408) 414-1236
Facsimile: (408) 414-1076

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Issue Fee, Commissioner for Patents, Washington, DC 20231

on March 19, 2007 by Martina Placid
Martina Placid